

## **Public Interest Incorporated Foundation Kawasaki Institute of Industrial Promotion Handling Guidelines on Prevention of Misuse in Public Research Expenses**

### 1 Views

This handling guidelines ('the guidelines') determine the basic policy for Public Interest Incorporated Foundation Kawasaki Institute of Industrial Promotion ('the foundation') to plan preventing misuse of public research expenses in research activities at Innovation Center of NanoMedicine - Foundation, based on 'The Guidelines for the Control and Audit of Public Research Expenses in Research Institutions (implementation criteria) (adopted on February 18 2014)' by the Ministry of Education, Culture, Sports, Science and Technology [MEXT] in order to manage public research expenses fairly alongside preparing an effective system.

### 2 Range of Application

The public research expenses in the guidelines shall include any deeds and results from application for research plans, implementation of research and publicising and reporting their results, and administration involved in all these activities carried out by the foundation with use of the publicly offered research resources ('public research expenses') focused on competitive funds distributed by the MEXT, the independent administrative agencies under the jurisdiction of the MEXT and the ministries excluding the MEXT or the independent administrative agencies under the jurisdiction of the ministries excluding the MEXT, or local governments and the group under the jurisdiction of local governments ('the governments').

Also subsidies from the private sector into research activities or donation and the other resources related to research shall be properly dealt with according to the guidelines.

### 3 Basic policy

The basic guidelines of the foundation regarding preventing misuse of public research funds are:

- ( 1 ) The foundation shall clarify the role of the responsible party, the range of its responsibilities, and authorities over management and control of public research expenses of the responsible parties inside the foundation, and announce its responsibility structure to internal and external of the foundation.
- ( 2 ) The foundation shall clarify the administrative authorities and rules over the administration works regarding in public research expenses, and at the same time, aim to raise awareness of the members of the foundation involved in management and control of public research expenses, and aim to build the environment and organisation equipped with inhibiting function against misuse of public research expenses
- ( 3 ) The foundation shall formulate a specific scheme for preventing misuse corresponding to the factors which induce misuse of public research expenses, execute the effective schemes surely and continuously.
- ( 4 ) The foundation shall enforce budget fairly in accordance with the formulated schemes for preventing misconduct. Also, the foundation shall build a system which functions when checked

by the third party, and manage and control public research expenses fairly.

- ( 5 ) The foundation shall build a structure in which the rules for the use of public research expenses etc. are properly information-shared and commonly recognised internally, announce the handling by the foundation externally.
- ( 6 ) The foundation shall prepare an effective monitoring system from the view of the entire foundation.

Old Name: 'The Handling Guidelines for  
Preventing Misconduct etc. of  
Public Research Expenses'

November 1 2013 (Enacted)

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Public Interest Incorporated Foundation  
Kawasaki Institute of Industrial Promotion  
Chairperson Junichiro Sone

\*English translation of this document is for reference purposes only.

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